



10/530/66
PCT/GB 2003 / 0 0 4 2 9 0



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

530166

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

William Morell

Dated 18 August 2005

BEST AVAILABLE COPY

19 MAR 2003

NEWPORT



19 MAR 03 17:40:11 004021
FOLIO 10 19-03-03 4.3

1777

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

AA 1613 GB

2. Patent application number

(The Patent Office will fill in this part)

0306264.3

3. Full name, address and postcode of the or of each applicant (underline all surnames)

JOHNSON MATTHEY PUBLIC LIMITED COMPANY
2-4 COCKSPUR STREET
TRAFALGAR SQUARE
LONDON SW1 5BQ

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

GB

536268009

4. Title of the invention

EXHAUST SYSTEM FOR A DIESEL ENGINE
COMPRISING A NO_x-TRAP

5. Name of your agent (if you have one)

ANDREW DOMINIC NUNN

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

JOHNSON MATTHEY TECHNOLOGY CENTRE
BLOUNTS COURT
SONNING COMMON
READING RG4 9NH

Patents ADP number (if you know it)

8083206001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

GB

0223126.4

05 OCT 2002

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.

See note (d))

EXHAUST SYSTEM FOR A DIESEL ENGINE COMPRISING A NO_x-TRAP

5 The present invention relates to an exhaust system for a diesel engine, which system comprising a first nitrogen oxide (NO_x)-trap comprising at least one first NO_x absorbent and platinum.

10 A NO_x-trap is purposely designed for absorbing and storing NO_x in lean exhaust gases ($\lambda > 1$ conditions), and releasing and catalytically reducing the stored NO_x in rich exhaust gases ($1 > \lambda$ conditions). To remove the stored NO_x, typically the engine is controlled so that periodically it is run rich during normal lean-running operation. A NO_x-trap typically includes active materials for three functions: an
oxidation catalyst, such as platinum; an absorbent, for example an alkali metal e.g. a
15 potassium and/or a caesium compound or an alkaline earth compound typified by barium oxide; and a reduction catalyst, such as rhodium. In the exhaust gas the or each alkali metal and alkaline earth metal compound is present as an oxide, although it may also be present in use as a hydroxide or a carbonate.

20 The convention used herein is to refer to a "NO_x-trap" as a composition comprising a NO_x absorbent and at least one catalytic material, such as a platinum group metal. NO_x absorbent refers to the e.g. alkaline earth metal compound or alkali metal compound capable of absorbing nitrogen dioxide (NO₂) in lean exhaust gas.

25 An oxidation catalyst is purposely designed to provide for the reaction of gaseous components with oxygen, typically in as wide a temperature range as possible, especially at lower temperatures. The catalyst oxidises whenever oxygen is available for reaction in the gas stream. Active components of an oxidation catalyst can include platinum,
palladium or a base metal active for oxidation such as manganese, copper, molybdenum,
cobalt or any other transition element that is active for oxidation.

30 An oxygen storage component (OSC) is purposely designed to absorb oxygen from lean exhaust gases and to release oxygen in rich exhaust gas conditions. Examples of suitable OSC include ceria doped with transition metals, e.g. zirconium, or other rare earth metals and manganese-based materials.

Our WO 02/18753 (incorporated herein by reference) describes an exhaust system for a lean-burn internal combustion engine, including a diesel engine, comprising an oxidation catalyst upstream of a NO_x-trap for oxidising relatively large amounts of unburnt hydrocarbons present in exhaust gas in normal lean-running conditions and for oxidising NO in the exhaust gas to NO₂ in lean-running conditions. In one embodiment, a clean-up catalyst comprising an oxygen storage component such as ceria, an oxidation component, such as platinum, a NO_x reducing component, for example rhodium, and a component for suppressing H₂S, for example NiO, Fe₂O₃, MnO₂, CoO and CrO₂, is disposed downstream of the NO_x-trap.

At low exhaust gas temperatures, e.g. about 200-250°C, and part-load, a modern Diesel engine produces a relatively high amount of NO_x in the form of NO₂. If, as is typical in an exhaust system for a lean-burn internal combustion engine including a NO_x-trap, the exhaust gas is first passed over a platinum oxidation catalyst, the NO₂ can be removed by facile low temperature oxidation of hydrocarbons present in the exhaust gas. This process is known as lean NO_x catalysis. In any event, the NO_x absorbent material can poison the lean NO_x activity of the platinum, thereby reducing the conversion efficiency of the process. At higher temperatures, e.g. above about 300-350°C, the oxidation of NO to NO₂ becomes sufficiently rapid for significant quantities of NO₂ to be formed and a conventional platinum containing NO_x-trap then starts to become effective.

We have now found that by using a NO_x-absorbent which is free of platinum upstream of a NO_x-trap comprising platinum to absorb engine-derived NO₂, the exhaust system as a whole is able to treat NO_x more efficiently. More particularly, we have found that the system slips less NO₂ to atmosphere than a system comprising a conventional NO_x-trap comprising a NO_x absorbent and platinum as the sole NO_x absorbent-containing component.

According to one aspect, the invention provides an exhaust system for a diesel engine, which system comprising a first NO_x-trap comprising at least one first NO_x absorbent and platinum, characterised in that at least one second NO_x absorbent is disposed upstream of the first NO_x-trap, which at least one second NO_x absorbent is not associated with platinum.

An advantage of this system over the above-mentioned prior art system is that the system of the invention generates less N_2O by lean NO_x catalysis over the platinum component of the first NO_x -trap.

5 The at least one second NO_x -absorbent can be associated with a base metal catalyst for oxidising nitrogen monoxide (NO) to nitrogen dioxide (NO_2) in lean exhaust gas or a non-platinum platinum group metal, such as rhodium or iridium, for reducing NO_x to N_2 in rich exhaust gas.

10 According to a further aspect, the invention provides an exhaust system for a diesel engine, which system comprising a first NO_x -trap comprising at least one first NO_x absorbent and platinum, characterised in that a second NO_x -trap is disposed upstream of the first NO_x -trap, which second NO_x -trap comprising at least one second NO_x absorbent and at least one platinum group metal selected from the group consisting of rhodium, palladium, iridium, osmium and ruthenium.

15 The first NO_x -trap can be coated on a flow-through monolith, but in one embodiment, it is coated on a particulate filter. In a further embodiment according to the latter aspect, the particulate filter can include a diesel oxidation catalyst, optionally comprising supported platinum and/or palladium.

20 Optionally there may be a catalyst for oxidising NO to NO_2 , such as platinum on an alumina support, disposed between the at least one second NO_x absorbent and the first NO_x -trap as described in our WO 02/18753.

25 During NO_x -trap regeneration, pulses of rich exhaust gas can be produced by the engine to convert stored NO_x to nitrogen. During this process, hydrocarbon or carbon monoxide could pass through the system to atmosphere. To prevent this, the gas downstream of the first NO_x -trap can be passed over an oxidation catalyst comprising an oxygen storage component, so even if the gas is overall reducing, reductants can still be
30 oxidised, and prevented from entering the atmosphere. In one embodiment, the oxidation catalyst comprises platinum or palladium supported on a bulk ceria-zirconia mixed oxide oxygen storage component.

According to a further aspect, the invention provides a diesel engine, optionally a light-duty diesel engine (as defined by the relevant legislation) comprising an exhaust system according to the invention. As is typical for engines including exhaust systems comprising NO_x-traps, the engine can include an engine control unit (ECU) programmed, in use, to adjust the exhaust gas composition to the rich side for regenerating the at least one first NO_x absorbent and the at least one second NO_x absorbent.

According to a further aspect the invention provides a flow through substrate comprising a NO_x-trap comprising a first zone coated with a composition comprising at least one first NO_x absorbent and platinum and a second zone coated with a composition comprising at least one second NO_x-absorbent, which at least one second NO_x absorbent is not associated with platinum.

According to a further aspect the invention provides a flow-through substrate comprising a first zone comprising a first NO_x-trap composition comprising at least one first NO_x absorbent and platinum and a second zone comprising a second NO_x-trap composition comprising at least one second NO_x-absorbent and at least one platinum group metal selected from the group consisting of rhodium, palladium, iridium, osmium and ruthenium.

According to a further aspect, the invention provides a method of treating NO_x in the exhaust gas of a diesel engine, which method comprising absorbing NO₂ from lean exhaust gas in at least one second NO_x absorbent; oxidising NO in lean exhaust gas to NO₂ at 300°C and above over a platinum catalyst and absorbing the NO₂ generated from oxidising NO in at least one first NO_x-absorbent; desorbing the stored NO_x in rich exhaust gas and reducing the desorbed NO_x to N₂.

In order that the invention may be more fully understood, reference is made to the sole accompanying drawing which shows a schematic diagram of a diesel engine comprising an exhaust system according to the invention. It is believed that the Figure, as annotated, is self-explanatory.

CLAIMS:

1. An exhaust system for a diesel engine, which system comprising a first NO_x-trap comprising at least one first NO_x absorbent and platinum, characterised in that at least one second NO_x absorbent is disposed upstream of the first NO_x-trap, which at least one second NO_x absorbent is not associated with platinum.
2. An exhaust system according to claim 1, wherein the at least one second NO_x-absorbent comprises a base metal catalyst for oxidising nitrogen monoxide (NO) to nitrogen dioxide (NO₂) in lean exhaust gas.
- ~~3. An exhaust system according to claim 1 or 2, wherein the at least one second NO_x-absorbent comprises a non-platinum platinum group metal (PGM) for reducing NO_x to N₂ in rich exhaust gas.~~
4. An exhaust system according to claim 3, wherein the PGM is rhodium or iridium.
5. An exhaust system for a diesel engine, which system comprising a first NO_x-trap comprising at least one first NO_x absorbent and platinum, characterised in that a second NO_x-trap is disposed upstream of the first NO_x-trap, which second NO_x-trap comprising at least one second NO_x absorbent and at least one platinum group metal selected from the group consisting of rhodium, palladium, iridium, osmium and ruthenium.
6. An exhaust system according to any of claims 1 to 5, wherein the first NO_x-trap comprises a particulate filter.
7. An exhaust system according to claim 6, wherein the particulate filter also includes a diesel oxidation catalyst, optionally comprising supported platinum and/or palladium.
8. An exhaust system according to any preceding claim, further comprising a catalyst for oxidising NO to NO₂ disposed between the at least one second NO_x absorbent and the first NO_x-trap.

9. An exhaust system according to claim 8, wherein the NO oxidation catalyst is platinum on an alumina support.

5 10. An exhaust system according to any preceding claim, further comprising a catalyst comprising a catalyst component for oxidising hydrocarbon and carbon monoxide to water and carbon dioxide and an oxygen storage component, which catalyst is disposed downstream of the first NO_x-trap.

10 11. An exhaust system according to claim 10, wherein the oxidation catalyst comprises platinum or palladium supported on a bulk ceria-zirconia mixed oxide oxygen storage component.

12. A diesel engine comprising an exhaust system according to any preceding claim.

15 13. A light-duty diesel engine according to claim 12.

14. An engine according to claim 12 or 13, comprising an engine control unit programmed, in use, to adjust the exhaust gas composition to the rich side for regenerating the at least one first NO_x absorbent and the at least one second NO_x absorbent.

20 15. A flow-through substrate comprising a NO_x-trap comprising a first zone coated with a composition comprising at least one first NO_x absorbent and platinum and a second zone coated with a composition comprising at least one second NO_x-absorbent, which at least one second NO_x absorbent is not associated with platinum.

16. A flow-through substrate comprising a first zone comprising a first NO_x-trap composition comprising at least one first NO_x absorbent and platinum and a second zone comprising a second NO_x-trap composition comprising at least one second NO_x-absorbent and at least one platinum group metal selected from the group consisting of rhodium, palladium, iridium, osmium and ruthenium.

17. A method of treating NO_x in the exhaust gas of a diesel engine, which method comprising absorbing NO_2 from lean exhaust gas in at least one second NO_x absorbent; oxidising NO in lean exhaust gas to NO_2 at 300°C and above over a platinum catalyst and absorbing the NO_2 generated from oxidising NO in at least one first NO_x -absorbent;
5 desorbing the stored NO_x in rich exhaust gas and reducing the desorbed NO_x to N_2 .
18. An exhaust system substantially as described herein with reference to the accompanying drawing.
- 10 19. An engine substantially as described herein with reference to the accompanying drawing.
-
20. A method substantially as described herein.

EXHAUST SYSTEM FOR A DIESEL ENGINE COMPRISING A NO_x-TRAP

5

Abstract

An exhaust system for a diesel engine comprises a first NO_x-trap comprising at least one first NO_x absorbent and platinum, characterised in that at least one second NO_x absorbent is disposed upstream of the first NO_x-trap, which at least one second NO_x absorbent is not associated with platinum.

10

[Figure 1]

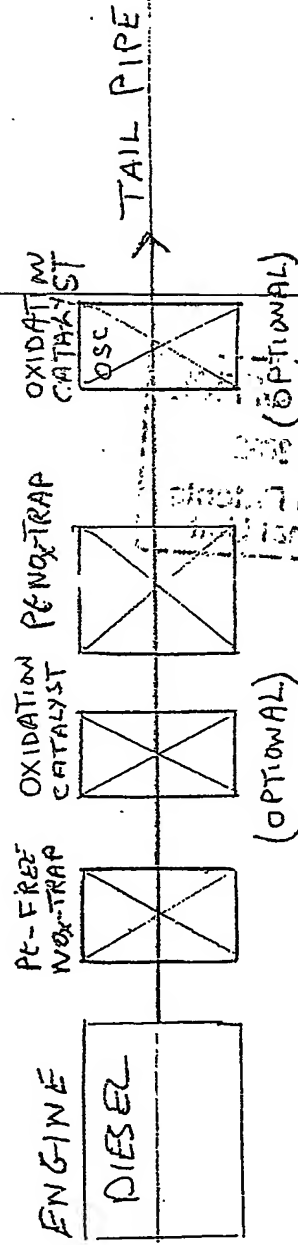


FIG 1.

Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/GB03/004290

International filing date: 01 October 2003 (01.10.2003)

Document type: Certified copy of priority document

Document details: Country/Office: GB
Number: 0306264.3
Filing date: 19 March 2003 (19.03.2003)

Date of receipt at the International Bureau: 06 September 2005 (06.09.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.